

Denning Pryce's Privacy Statement

At Denning Pryce, we acknowledge the importance of personal information. We understand that investors may have concerns about their privacy and about the confidentiality and security of personal information.

Denning Pryce is obliged to provide quality financial services and products within a secure and private environment. This privacy statement describes how Denning Pryce manages personal information and safeguards its client's privacy.

The Privacy Principles

Denning Pryce is subject to the requirements of the National Privacy Principles contained in the Privacy Act 1988 (the **Privacy Act**) as amended from time to time and which govern how private sector organisations manage personal information.

Collection of personal information

Denning Pryce will only collect information that is necessary for the primary purpose of:

- providing our services and products or with information that has been requested;
- notification about other Denning Pryce services or promotions from time to time; and
- managing our relationships.

Denning Pryce strive to collect personal information in a lawful and non-obtrusive way. The types of personal information Denning Pryce collects and maintains generally comprises:

- names
- contact details such as telephone numbers, address and e-mail address;
- birth dates (where applicable);
- tax file numbers (in accordance with the Australian Tax Office guidelines); and
- relevant financial details.

Sensitive information

Generally, Denning Pryce does not collect sensitive information (eg. information about religion, race, medical conditions or political views). If we need to collect sensitive information we will only do so with each client's consent.

Use and disclosure

Denning Pryce will only use and/or disclose personal information for the purpose for which it is collected, unless consent has been obtained to use the information for additional purposes. Exceptions to this include where disclosure is:

- required by Law; or
- reasonably necessary to assist a law enforcement agency; or
- external companies for the purposes of issuing statements and handling mail.

Data flows

Denning Pryce may disclose information to anyone acting on behalf of Denning Pryce, such as external service providers who supply administrative, financial or other services to assist Denning Pryce in the provision of financial services and products, anyone a client may have authorised, or government departments or agencies within or outside of Australia.

Anonymity

Where legally permissible and practicable Denning Pryce may deal with an individual anonymously. However, if required information is not provided Denning Pryce may not be able to provide the product or service requested.

Personal Information Quality and Access

Denning Pryce aims to keep all personal information as accurate, complete and up to date as possible. To assist us with this, we request clients contact us if any of the details provided have changed. Clients may contact Denning Pryce if they believe information we hold about them is incorrect in any way or out of date. Denning Pryce may charge a fee for supplying personal information.

Personal Information Security

Denning Pryce keeps your information secure and takes all reasonable precautions to protect any information we hold about clients. Reasonable steps are taken to destroy or permanently de-identify any personal information no longer required.